

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
)	
Inventor(s): Teresa H. Meng, et al.)	
)	
Application No.: 09/416,098)	Art Unit: 2611
)	
Filed: 10/12/1999)	Examiner: Eva Y. Puente
)	
Title METHOD AND APPARATUS FOR)	
ELIMINATING THE EFFECTS OF)	
FREQUENCY OFFSETS IN A DIGITAL)	
COMMUNICATION SYSTEM)	
)	

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 C.F.R. §1.97(i)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed non-U.S. publication and foreign patent, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. At a minimum, it is requested that this IDS and the cited references be placed in the file.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

The Commissioner is authorized to charge any fees associated with this communication, e.g., the fee under 37 CFR 1.17 (e), to Deposit Account No. 50-0574 (Docket No. ATH-0005).

Respectfully submitted,

BEVER, HOFFMAN & HARMS, LLP

Dated: September 26, 2012

By:

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Jeanette S. Harms, Reg. No. 35,537

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/416,098
(Use as many sheets as necessary)				Filing Date	10-12-1999
				First Named Inventor	Teresa H. Meng
				Art Unit	2611
				Examiner Name	Eva Y. Puentे
Sheet	1	of	2	Attorney Docket No: 111936 (ATH-0005)	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	001	US7120166	10-10-2006	MCFARLAND; William et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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					T ₆

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.P./

EXAMINER SIGNATURE

/Eva Puentے/

DATE CONSIDERED 12/14/2012

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST 16 if possible. *Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(Use as many sheets as necessary)			
Sheet	2	of	2
Attorney Docket No: 111936 (ATH-0005)			

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
 None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2012-09-26
Name/Print	Jeanette S. Harms	Registration Number	35,537

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